

# States, Local Governments, and Non-Profit Organizations Certification Letter

Subrecipient: \_\_\_\_\_

Tax ID #: \_\_\_\_\_

Subrecipient Monitoring Requirements:

Auburn University is required by OMB Circular A-133 to determine if our subrecipients have met the audit requirements of the Circular and whether they are in compliance with Federal laws and regulations.

**Please read the following certification in Section A, and if ALL statements are true, sign and date the certification. If you have not completed the requirements of Section A, please complete Section B and provide all appropriate documents.**

## Section A:

I hereby certify that for fiscal year ended \_\_\_\_\_

1. Financial statements received an unqualified opinion from our independent certified public accountants; and
2. The administration of our Federal projects has been audited in accordance with OMB Circular A-133, and there were no material instances of noncompliance with Federal laws and regulations or reportable conditions; and
3. There were no findings in the single audit report that are specifically related to awards from Auburn University.

## Section B:

**Please check one of the following and provide all appropriate documents:**

I hereby certify that for fiscal year ended \_\_\_\_\_

- We have completed our OMB Circular A-133 audit, and material noncompliance issues and/or reportable conditions were noted. Enclosed is a copy of the audit report and our response.
- There were findings in the single audit report that are specifically related to a prime award from Auburn University. A listing of awards and the explanation of the findings as they relate to the prime award is enclosed.
- We have not completed our OMB Circular A-133 audit. We expect the audit to be completed by \_\_\_\_\_. Within thirty (30) days of completion, we will provide the positive certifications in Section A, or a response as required in Section B.
- We did not expend \$500,000 or more in Federal awards during the related fiscal year, and therefore are not subject to the requirements of OMB Circular A-133. There were no material instances of noncompliance with Federal laws and regulations or reportable conditions. Enclosed is a copy of our financial statements and/or our audit report for this fiscal period.

\_\_\_\_\_  
**Authorized Institutional Representative signature**

\_\_\_\_\_  
**Date**

\_\_\_\_\_  
**Authorized Institutional Representative (print)**

\_\_\_\_\_  
**Phone #**

\_\_\_\_\_  
**Email address**