AUBURN UNIVERSITY

RESEARCH

AU Intramural Grants Program (AU-IGP) 2013-14

Auburn University
Intramural Grants Program

- The inaugural 2010-2011 program, with required matching funds, allocated in excess of **$3,000,000 (60/60 funded)**.
- For the 2011-12 program, the total funding for scholarly efforts of faculty exceeded **$2,000,000 (54/88 funded)**.
- The 2012-2013 program funded **28/58 faculty for a total of $2, 600,000.**

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- The AU-IGP was designed be all inclusive across all colleges/schools.
- Four levels of competition
  - Levels 1-4
- Levels 2-4 provide a broad spectra of targeted areas **including, but not limited to** :
  - Cyber Systems
  - Energy and the Environment
  - Health Sciences
    - ✓ Cancer Biology
    - ✓ Food Safety
    - ✓ MRI
  - Science, Technology, Engineering and Mathematics Education
  - Transportation

  (all aspects: basic/applied/translational/outreach/policy/etc.)

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Eligibility:

- **Can an Assistant/Associate Research Professor (NTTF) apply?** Yes, the funds are not restricted to tenure-track faculty. However, graduate students, postdoctoral fellows and instructors are ineligible.
- **Can a visiting professor apply?** If there are extenuating circumstances associated with an application, it may be considered. However, reviewers felt it was reasonable to request that a "regularly appointed" faculty member be identified as PI.

The IGP will not provide research or salary support funds for non AU investigators, i.e. those from other institutions. If you are partnering with someone from a different institution then that institution should support your colleague's salary and research expenses. This does not apply if you are having an explicit service provided. For example, if you are contracting with someone to analyze samples and there is, for example, a per-sample charge, then the rationale should state exactly what the charge is, how many samples, and why this is important to your project. Essentially, it should be treated like the purchase of any item.

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Eligibility cont.:

- **Can a faculty member be a PI on more than one grant?** No.
- **Can a faculty member be a co-PI or co-I on more than one grant?** Definitions and terminology were revised for the 2011-12 call.
  - Individuals may be PI or co-PI on only one submission in a given year (only a single submission at any level). The concern is whether two or three investigators submit related research proposals possibly across different IGP levels. The term "co-PI" is now considered in the same light as a PI.
  - A faculty member can be a collaborating/supporting "co-I" on multiple proposals. Please review definitions on Page 6 of the policy.
- Former grant recipients are ineligible (as either PI or co-PI) for 5 years following the expiration of either a Level 1 or Level 2 award; however, they may apply for a level 3 or 4 award.

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ADR Coordination

- It is extremely important to involve your Associate Dean for Research (ADR) in the development of your proposal as early as possible. This involvement includes discussions at the conceptual stages of your research/scholarship , budget discussions, and discussions on compliance, and pre and post award management.

Level 1 Grant

Level 1 – Seed Research/Scholarship Grant (Up to $3,000 in OVPR support with a required 1:1 match from the department/school/ college)

- Individual investigator driven projects working on a new research program or scholarly initiative.
- A 1:1 match from the applicant's college/school/department is required.

Level 1 – Seed Research/Scholarship Grant cont.

- Not interdisciplinary in nature (the only Level).
- Work must represent a new program or initiative.
- Up to $3,000 may be requested ($3,000 in OVPR support with 1:1 matching from the department/school/ college can extend the total award to $6,000). (Additional salary leverage possible with Provost's Professional Improvement Leave program).
- Only the application form without an additional narrative is required.

Level 1 – Seed Research/Scholarship Grant cont.

The application should describe <u>either</u> *how the award will result in a) additional extramural support and/or b) how this award will result in furthering the research/scholarship mission of AU*.

Level 2 Grant

Level 2 – Exploratory Interdisciplinary Research/Scholarship Grant (Up to $4000 in OVPR support with a required 1:1 match from the department/school/ college)

- Faculty from at least two depts./schools/colleges working on a new research program or scholarly initiative.
- A 1:1 minimum match from the applicants' department/school/college is required.

Level 2 – Exploratory Interdisciplinary Research/Scholarship Grant cont.

- Up to $4,000 may be requested ($4,000 in OVPR support with 1:1 matching from the school/college can extend the total award to $8,000).
- Only the application form without an additional narrative is required.

Level 2 – Exploratory Interdisciplinary Research/Scholarship Grant
cont.

- Similar to Level 1, Level 2 support is considered seed funding and *the applicant(s) must describe how the award will result in: a) additional extramural support and/or b) how this award will result in furthering the research/scholarship mission of AU.*

Level 3 Grant

Level 3 – Developmental Interdisciplinary Research/Scholarship Grant (Between $25,000-$100,000 in OVPR support with a required 1:1 match from the department/school/ college)

- Faculty from at least two schools/colleges (not within the same college) working on a new research program or scholarly initiative.
- A 1:1 minimum match from the colleges/schools involved is required.

Level 3 – Developmental Interdisciplinary Research/Scholarship Grant cont.

- Between $25,000 and $100,000 in OVPR support may be requested for up to three years.
- The application form and a 10 page maximum proposal narrative is required.

Level 3 – Developmental Interdisciplinary Research/Scholarship Grant
cont.

- *Demonstrate how the project is related to applicant's field, hypotheses being tested, how research will result in: a) additional extramural support and/or b) how this award will result in furthering the research/scholarship mission of AU.*

Level 4 Grant

Level 4 – Interdisciplinary Equipment Proposals (Between $25,000-$150,000 in OVPR support with a required 1:1 match from the department/school/ college)

- Faculty from at least two schools/colleges (not from within the same college) must demonstrate need.
- A 1:1 minimum match from the applicants' department/school/college is required. (A change from last year's 1College:2 OVPR minimum match)

Level 4 – Interdisciplinary Equipment Grant Cont.

- Between $25,000 and $150,000 in OVPR support may be requested for a Level 4 application.
- The application form and a 10 page maximum proposal narrative is required.
- Expectations/deliverables for a Level 4 award include:
  - A demonstrated need for the equipment
  - Equipment sharing plan
  - Publication requirements

Expectations

Remember – for **all** Levels. . . expectations include an oral or poster presentation during Research Week activities at end of award (each year for multi-year awards). (RW2014 is April 14-17).

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***Matching funds questions*:**

- **Will formal, separate cost share accounts be set up?** A separate OVPR account will be established. Cost-share funds as a general rule will be deposited into the account and tracked by the OVPR.
- **Does the match have to be funded with division 1 funds?** No, funding can come from other sources (e.g., Division 3 or 4 funds, gift/donor funds, salary savings/incentive funds, or internal competitive awards contingent on IGP funding).
- **Can ICRE (F&A) be used to fund the mandatory matches?** ICRE (F&A) cannot be pledged for the match - but if you have accumulated funds in a Dean's ICRE account – yes, such dollars can be used for the match.
- **Can a faculty member use his/her budgeted salary (or other technical salaries) or salary savings as the match?** No.
- **Can funds from an existing federal grant be used as cost share?** No. The cost-sharing component was identified to parlay college/school/unit and OVPR funds to help faculty develop new sponsored activities. The model penalizes faculty; providing 1/2 of what they might otherwise be awarded. Such a cost-share and federal compliance issues may or may not be problematic.

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Fringe Rates:

- Please use appropriate fringe benefit rates as posted at the time of submission (https://fp.auburn.edu/vpr/osp/policies.aspx). As approved 9/12/2013 – please use 31% (faculty/staff), 10.8% (part-time/TES) and 2.4% (graduate students).
    - The 10% tuition remission rate is not charged on intramural grants.

Forms:

- CVs are part of the application package and should be no more than 2 pages in length per PI or co-PI.
- We recommend including current and pending funding for PIs and co-PIs.
- On Level 3 and Level 4 applications:
    - ➢ The 10 page limit does not include a bibliography/reference list.
- Equipment quotes, particularly for Level 4 applications would represent a legitimate appendix. Equipment/instrument descriptions should be found within the 10 page plan . . . but a quote (with full description) can be included as an appendix.

Other grant mechanisms:

- **Will the research grant-in-aid discretionary awards, faculty mentoring grants, biogrants or competitive research grants still be supported?** Prior OVPR programs have been rolled into the AU-IGP program.

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Changes for 2013-14:

- **Deadline for submission November 4.**
- 2 page CVs for all key personnel for all Levels.
- Level 4 grants will again be for major equipment over $25K and require a 1:1 match.
- An outcome measure is specifically identified on the application itself (sponsored activity or other measure related to creative scholarship).
- Proposals can be submitted either as a hard copy or electronically.
- A final report is required for all Levels

Requirements	Level 1 (Initial/seed funding)	Level 2 (Exploratory/Interdisciplinary)	Level 3 (Developmental/Interdisciplinary)	Level 4 (Equipment)
Number of Applicants/Faculty Level	Individual investigator/must at least be at assistant professor level or higher	Faculty from at least two departments/schools/ colleges/one applicant must at least be at assistant professor level	Faculty from at least two schools/colleges/one applicant must at least be at assistant professor level	Faculty from at least two schools/colleges/one applicant must at least be at assistant professor level
Eligibility Requirement	Former grant recipients are ineligible for 5 years following the end date of a previous Level 1 or 2 Award	Former grant recipients are ineligible for 5 years following the end date of a previous Level 1 or 2 Award	N/A	N/A
Abstract/Proposal Narrative Required	Application and 350 word abstract required	Application and 350 word abstract required	Application and proposal narrative/10 page limit	Application and proposal narrative/10 page limit
OVPR Commitment	At most $3,000	At most $4,000	Between $25,000 and $100,000	Between $25,000 and $150,000
Matching Funds	1:1 minimum for college(s)/school/department with matching fund commitment from ADR	1:1 minimum for college(s)/school/department with matching fund commitment from ADR	1:1 minimum for college(s)/school/department/ with matching fund commitment from ADR	1:1 minimum for college(s)/school/department with matching fund commitment from ADR
Duration of Award	1 year	1 year	3 years	I year
Expectations	Written description of how award will further the research/scholarship mission of AU; seek extramural funding	Written description of how award will further the research/scholarship mission of AU; seek extramural funding	Proposal rated as outstanding to qualify for IGP funding; research supported should form a foundation for seeking extramural support	Demonstrated need for equipment; equipment and research supported should form a foundation for seeking extramural support
Deliverables	Oral presentation or poster at Research Week. Final Report: A brief report describing the outcome of the research conducted using these funds is due in the Office of the Vice President for Research no later than 60 days following the end of the grant period.	Oral presentation or poster at Research Week. Final Report: A brief report describing the outcome of the research conducted using these funds is due in the Office of the Vice President for Research no later than 60 days following the end of the grant period.	Oral presentation or poster at Research Week/ additional abstract submission/ publication submission/ submit plan for the submission of an extramural proposal. Final Report: A brief report describing the outcome of the research conducted using these funds is due in the Office of the Vice President for Research no later than 60 days following the end of the grant period.	Oral presentation or poster at Research Week/additional abstract submission/publication submission/submit plan for the submission of an extramural proposal. Final Report: A brief report describing the outcome of the research conducted using these funds is due in the Office of the Vice President for Research no later than 60 days following the end of the grant period.

Information?

- Intramural Grants https://cws.auburn.edu/vpr/iga/igp/default.aspx
- Bob Holm (programmatic assistance) rholm@auburn.edu, x5877
- Jean O'Donnell (administrative assistance) odonndj@auburn.edu, x5976