

## *TIGER TIPS*

# *RESOURCES FOR AUBURN RESEARCHERS*

### Updates on NSF Current and Pending Support Requirements

The purpose of this guidance is to help Principal Investigators, researchers and staff determine what information should be included in Current & Pending Support when applying for NSF funding. It is based on current agency policy and is subject to change as clarification is received from NSF. A [Frequently Asked Questions \(FAQ\) document](#) has also been created and updated by NSF.

The NSF’s Proposal & Award Policy & Procedures Guide (PAPPG) lays out what information is required to be reported as Current & Pending support at Chapter II.C.2.h. (See excerpt at the end of this document.) We must report all current project “support from whatever source,” which includes all external and internal funding if “allocated toward specific projects.” When reporting Current & Pending, “the total award amount for the entire award period covered (including indirect costs) must be shown as well as the number of person-months per year to be devoted to the project, regardless of source of support;” furthermore “projects or activities requiring a portion of time of the PI and other senior personnel must be included, even if they receive no salary support from the project(s).” These requirements apply to the subject proposal to be submitted, as well as, ongoing projects and proposals (already submitted or submitted concurrently to other potential sponsors), and any subsequent funding (continuing grants).

Below are two tables organizing common types of financial resources at Auburn University into two categories: Institutional and External. Both tables list the type of resource and then address generally whether it would be included in Current & Pending Support.

#### INSTITUTIONAL FINANCIAL RESOURCES

Type of Financial Resource	Include in Current and Pending Support?
Internal Awards	Include in Current & Pending Support
Start-up funds	Start-up packages provided to the individual from Auburn University are <b>not</b> required to be reported. <b>Start-up packages from other than the Auburn University must be reported.</b> Faculty academic year salary is not considered current and pending support in this context.

#### External Financial Resources

Type of Financial Resource	Is it Current and Pending Support?
Appointments ( <i>All positions, domestic and foreign, including titled academic, professional or institutional and regardless whether full-time, part-time, voluntary, adjunct, visiting, honorary, or if remuneration is received.</i> )	Include in Biosketch.

Contracts	Include in Current & Pending Support regardless whether they are awarded through Auburn, to the researcher through another institution, or to the individual.
Consulting Agreements	Include in Current & Pending Support.
Cooperative Agreements	Include in Current & Pending Support regardless whether they are awarded through Harvard, to the researcher through another institution, or to the individual.
Foreign Scholarship Program	Include in Current & Pending Support, both for the Principal Investigator and any lab members.
Foreign Talent Program	Include in Current & Pending Support, both for the Principal Investigator and any lab members.
Gifts	<p>If an item or service meets the definition of a gift, it is <b>not reported</b>. A gift includes any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, license, special access, equipment time, samples, research data, or other item having monetary value. A gift also includes services as well as gifts of training, transportation, local travel, lodging, meals, research hours, whether provided in-kind, by purchase of a ticket, payment in advance, or reimbursement after the expense has occurred. <b>A gift by definition is given without expectation of anything in return.</b></p> <p>An item or service given <b>with the expectation of an associated time commitment</b> is not a gift and is instead an in-kind contribution and must be reported to NSF. If the in-kind contribution is not intended for use on the project/proposal being proposed to NSF but has an associated time commitment, the information must be included as part of the Current and Pending Support section of the proposal. If the in-kind contribution is intended for use on the project/proposal being proposed to NSF, the information must be included as part of the Facilities, Equipment and Other Resources section of the proposal and need not be replicated in the Current and Pending Support submission.</p>
Grants	Include in Current & Pending Support regardless whether they are awarded through Auburn, to the researcher through another institution, or to the individual.
In-Kind Resources (e.g., office/lab space, equipment, supplies, employees, etc.)	If the in-kind contributions are not intended for use on the project/proposal being proposed to NSF but they have <u>associated time commitment</u> , the information <u>must be included as part of the Current and Pending Support</u> section of the proposal. If the in-kind contributions are intended for use on the project/proposal being proposed to NSF, the information must be included as part of the Facilities, Equipment and Other Resources section of the proposal and need not be replicated in the individual's Current and Pending Support submission.
Other Resources (e.g., financial support from all foreign and domestic entities, including but not limited to,	Include in Current & Pending Support if allocated to the project This includes awards made directly to graduate students or postdoctoral fellows who are working on research in the researcher's lab.

financial support for laboratory personnel, and provision of high-value materials that are not freely available (e.g., biologics, chemical, model systems, technology, etc.))	
Prizes	Include if used to subsidize research projects. Include the amount planned for use over the current fiscal year.

[NSF Proposal and Award Policies and Procedures Guide \(PAPPG\)](#), effective June 1, 2020, Ch.II.C.2.h. Current and pending support information must be separately provided **through use of an NSF-approved format<sup>1</sup>**, for each individual designated as senior personnel on the proposal. Current and pending support includes all resources made available to an individual in support of and/or related to all of his/her research efforts, regardless of whether or not they have monetary value. Current and pending support also includes in-kind contributions (such as office/laboratory space, equipment, supplies, employees, students). In-kind contributions not intended for use on the project/proposal being proposed also must be reported.

Current and pending support information must be provided for this project, for ongoing projects, and for any proposals currently under consideration from whatever source, irrespective of whether such support is provided through the proposing organization or is provided directly to the individual.

The total award amount for the entire award period covered (including indirect costs) must be provided, as well as the number of person-months (or partial person-months) per year to be devoted to the project by the individual.

Concurrent submission of a proposal to other organizations will not prejudice its review by NSF, if disclosed. If the project (or any part of the project) now being submitted has been funded previously by a source other than NSF, information must be provided regarding the last period of funding.

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<sup>1</sup> As of February 26, 2020, the two NSF-approved formats have not yet been released.