



In-coming Material Transfer Agreement (MTA) Questionnaire

(Please attach this form to the MTA you wish to have signed)

In order to appropriately evaluate the proposed MTA, please provide answers to the following questions:

1. Principal Investigator requesting Material:

Name and Title (e.g., Asst. Prof.): _____

Department: _____

Phone #: _____ Fax #: _____

E-mail: _____

2. Provider of Material and Provider's Institution or Company:

___ Non-profit/University

___ Commercial entity

Name of organization: _____

Address: _____

Contact person and phone number and **e-mail address**: _____

3. Documents for requested Material

Please attach any additional documentation, statement of investigator form, letter of intent, or correspondence of any kind between you and the Provider of the Material stating any condition(s), restriction(s) or guidelines under which the Material will be used.

4. Name/Type of Material (please provide specific amount and detailed description of material to be transferred): _____

5. What is the original source of the Material? (created by the Provider, received by the Provider from a third party, etc.) _____

6. (a) Is the Material encumbered by patent(s) or license(s) of which you are aware?

_____ Yes _____ No _____ Unsure

(b) If yes, please explain: _____

7. Do you have the Material in your possession?
_____ Yes _____ No

8. Is the Material available commercially or through any other source such as a research reagent bank or depository (such as the ATCC, Hybridoma Bank, etc.)?
_____ Yes _____ No _____ Unsure

9. Explain how you will use the Material (please be specific -- **attach scope of work if available**): _____

10. Does the use of the Material involve ___ animals / ___ human subjects?
Please attach IACUC or IRB approval document(s).

11. Does the scope of work involve other AU employees?
_____ Yes _____ No

12. (a) Will students be using the material?
_____ Yes _____ No _____ Unsure

(b) If so, will their work with the Material be part of a thesis?
_____ Yes _____ No _____ Unsure

13. Does the research involve hazardous substances?
_____ Yes _____ No

14. Is the Material known to be toxic?
_____ Yes _____ No

15. **Corporate/industrial support**

(a) Do you receive industrial support for any portion of your salary or the salary of individuals working under your supervision on the project in which Material will be used?
_____ Yes _____ No

(b) Do you receive industrial support for the research project in which Material will be used?
_____ Yes _____ No

(c) Do you receive industrial support for purchase of supplies, reagents, animals, tissues or cells which will be used in the research project for which Material is being requested?

_____Yes _____No

If yes to any of the above, please explain briefly: _____

If yes to any of the above, has this project been routed through the Office of Sponsored Programs? _____

16. **Funding for the project in which the Materials will be used:**

Please list the sponsor(s) (for-profit, Foundations, Federal, etc.), title of research project(s) and, if appropriate, grant number(s) of the on-going sponsored research project(s) in which the Material will be used. _____

17. Will the Material be used in conjunction with any **other material(s)** received from another institution, company or any other third party?

_____Yes _____No _____Unsure

(a) If yes, please identify the other material(s) and where it/they come from:

(b) If yes, was there any agreement, statement of investigator form, letter of intent or correspondence of any kind between you and the provider of the **other material(s)** stating any condition(s), restrictions or guidelines under which the **other material(s)** will be used?

_____Yes _____No

(c) **If yes, and you have not already provided a copy to IAC, kindly do so now.**

18. Does the research involve any material or method of value or potential value that you have developed? If yes, please describe and list relevant patents or technology disclosures. _____

19. Do you anticipate that **any** inventions will be developed from the use of the Material?

_____ Yes _____ No _____ Unsure

If yes, please discuss - e.g., will invention be a new use for the Material, will invention be an entirely new product, cell line, etc? _____

20. Will you be creating a new substance that contains or incorporates the Material?

_____ Yes _____ No _____ Unsure

21. Will any progeny, unmodified derivatives or modifications to the Material be produced (i.e., unmodified descendants from the Material, such as virus from virus, cell from cell; subclones of unmodified cell lines, proteins expressed by DNA/RNA supplied by Provider, monoclonal antibodies secreted by a hybridoma cell line; etc.)

_____ Yes _____ No _____ Unsure

Please be aware that there may be terms and conditions in the Material Transfer Agreement which may (i) preclude your use of the Materials in research sponsored by third parties, or (ii) prevent you from obtaining Materials in the future from third parties whose policies do not allow distribution of biological materials to investigators whose rights to commercialize technology may be encumbered by pre-existing obligations.

I certify that all information provided is accurate.

Signature

Date: _____

Please fax the completed, signed form and attachments to IAC at 844-5963, e-mail to iac.contracts@auburn.edu or send by campus mail to "Office of Innovation Advancement and Commercialization."