**AUBURN UNIVERSITY INSTITUTIONAL REVIEW BOARD for RESEARCH INVOLVING HUMAN SUBJECTS  
IRB NOTIFICATION OF EVENT WITH AN APPROVED PROTOCOL**For assistance contact: **The Office of Research Compliance (ORC)**  Phone: 334-844-5966 E-Mail: [IRBAdmin@auburn.edu](mailto:IRBAdmin@auburn.edu) Web Address: <http://www.auburn.edu/research/vpr/ohs>

Revised 04.13.2022 **Submit completed form and supporting materials as one PDF to** [**IRB Submission Page**](https://auburn-650430.workflowcloud.com/forms/58a0c1d0-4826-4b79-a1ec-15b359f81c8f)Form must be populated using Adobe Acrobat / Pro 9 or greater standalone program (do not fill out in browser). Hand written forms will not be accepted.  
 **Today’s Date:** \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Principal Investigator:** \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ **AU IRB Protocol #:** \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Study Title:** \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

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**Person Submitting Report:** \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ **Has the PI for this project been notified?** \_\_\_\_**Yes** \_\_\_ **No**

**1. Describe in detail the nature of the event, including the date of occurrence.**

**2. Describe the outcome of the event including:  
 a) Initial response of the participant and who else was informed/involved at the time.  
 b) Actions taken by the PI or other research personnel to mitigate the event.  
 c) Outcomes that violated any participants’ rights, safety, or welfare.  
 d) Outcomes that affect the integrity of the study.**

**3. Describe the process to ensure there will be no further noncompliance.**

**4. For funded research or research involving other IRBs, indicate whether or not the Sponsor or other IRBs have been informed. If not, provide a rationale for not reporting.**

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 Principal Investigator’s Signature Date**

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 Other Signature (if report not filed by PI) Date**