

**AUBURN UNIVERSITY
CONFLICT OF INTEREST DISCLOSURE FORM**

Complete for projects sponsored by the National Science Foundation (NSF)

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_____ NEW

_____ UPDATE

Investigator's Full Name _____ Date _____

Employee ID Number _____

Department _____

School/College _____

Sponsoring Agency _____

Title of project _____

Proposed/Awarded amount _____

Proposal/Award numerical identifier _____

The purpose of this disclosure statement is for investigators at Auburn University to ensure that no unresolved conflict exists between their outside financial interests and their commitment to their research or educational activities funded by the National Science Foundation (NSF) and/or their proposed protocol for the use of human subjects in research. This statement conforms to current Federal guidelines requiring limited and targeted financial disclosure and review of the disclosure by a responsible university representative. This statement discloses specific facts to help the appropriate Reviewer determine if a conflict of interest exists so that the university can manage, reduce, or eliminate the conflict.

1. Are you or a member of your immediate family, a director, officer, partner, employee, or agent, or in any managerial position in any business enterprise outside of Auburn University that might affect or be affected by your activities under the referenced proposal or award?

_____ Yes (If Yes, describe in detail the nature and extent of the affiliation on an attached sheet.)

_____ No

2. Are you or your spouse or dependents the actual or beneficial owner of more than five percent (5%) of the voting stock or controlling interest of any Business Enterprise in your field of academic interest or specialization as it specifically relates to the referenced proposal or award?

_____ Yes (If Yes, describe in detail the nature and extent of the affiliation on an attached sheet.)

_____ No

3. Have you or your spouse or dependents collectively derived more than \$10,000 in income within the past year or do you or any member of your immediate family anticipate deriving

collectively income exceeding \$10,000 per year from any business enterprise in your field of academic interest or specialization as it specifically relates to the referenced proposal or award?

Yes (If Yes, describe in detail the nature and extent of the affiliation on an attached sheet.)

No

4. Do you or members of your immediate family have any other significant financial interest, including salary or other payments for services, equity interest, and/or intellectual property rights in any business enterprise in your field of academic interest or specialization as it specifically relates to the referenced proposal or award?

Yes (If Yes, describe in detail the nature and extent of the affiliation on an attached sheet.)

No.

CERTIFICATION:

I have read and understand the Auburn University Disclosure Form for NSF-funded Projects; have made all financial disclosures required; and will comply with any conditions or restrictions imposed to manage, reduce or eliminate actual or potential conflicts of interests.

Signature: _____ Date _____

REVIEWER'S CERTIFICATION:

I certify that to the best of my knowledge the person named above has disclosed all reportable outside interests and activities, as related to the referenced proposal or award sponsored by the NSF or protocol submitted for IRB review. I further certify that I have reviewed the disclosure and have determined:

no conflict exists

any conflict has been managed, reduced, or eliminated

the conflict cannot be resolved, please notify the sponsoring agency. Additional information is attached.

Signature: _____

Typed/Printed Name: _____

Title: _____

Date: _____

**AUBURN UNIVERSITY
CONFLICT OF INTEREST DISCLOSURE FORM
INVESTIGATOR'S ANNUAL STATEMENT**

Investigator's Full Name _____ Date _____

Employee ID Number _____

Department _____

School/College _____

Sponsoring Agency _____

Title of project _____

Proposed/Awarded amount _____

Proposal/Award numerical identifier _____

INVESTIGATOR'S CERTIFICATION

The following is an accurate and current statement of my reportable outside interests and activities, as related to the referenced proposal or award.

Signature _____ Date _____

Typed/Printed name: _____

_____ No changes to current disclosure on file

_____ Updated disclosure attached.