Auburn University
Office of the Vice President for Research and Economic Development

Office of Research Compliance

Milly Tye (milly@auburn.edu)
Research Integrity Manager
334-844-8601
FY22 COI Disclosure – Opens 15 July 2021

Relevant University Policies

- **Conflict of Interests Policy** – adopted March 2019
- **AU FCOI Policy for Research and Related Activities** – adopted January 2015
- **Auburn University Nepotism Policy** – revised October 2019
- **Nepotism Policy for Research and Sponsored Activities** – adopted February 2020
**FY22 COI Disclosure – Opens 15 July 2021**

- **All FTES** – disclose annually and within 30 days of changes in interests

- **Non FTEs** - Can be added if they need to disclose per funder requirements

- **COI Management Plans** –
  - Do not inhibit research
  - Provide a road map for a compliant way forward
  - AU and several federal agencies **require** COIs to be managed
  - Should be in place ASAP after COI(s) are identified
FY22 COI Disclosure – Opens 15 July 2021

Examples – Potential Need for COI Management Plan

- PI has interest in start-up company funding SBIR/STTR research at AU
- PI has relationship with private company funding research or related activities at AU
- Related individuals collaborate on research or related activities
FY22 COI Disclosure – Opens 15 July 2021

What does this mean for AUSPAN members?

- COI disclosure and management plans should be in place ASAP
- A COI Disclosure Report is available
- A COI Management Plan Report is being considered
- Contact Research Integrity for questions about disclosures or plans
CITI COI Training

What does this mean for AUSPAN members?

- Current CITI COI training is required for all PHS funded Investigators
- A COI Training Report is available
- CITI COI training is valid for 4 years
- CITI COI training should be completed prior to engaging in research related to any PHS-funded contract
<table>
<thead>
<tr>
<th>Funding Source</th>
<th>Career Stage</th>
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<tbody>
<tr>
<td></td>
<td>Undergraduate</td>
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<tr>
<td><strong>NSF</strong> (notice)</td>
<td>CITI – RCR in subject area</td>
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<tr>
<td><strong>NIH</strong> (policy)</td>
<td>CITI and 8 hours in Person</td>
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<tr>
<td><strong>USDA-NIFA</strong> (notice)</td>
<td>CITI – RCR Biomedical Sciences course recommended</td>
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Responsible Conduct of Research Training

What does this mean for AUSPAN members?

- **NSF/NIH**: Check RCR training before adding student to project
- **USDA – NIFA**: Check RCR training before adding anyone to project

- An RCR training report is available
- RCR training should be complete before being added to a relevant project
- CITI RCR training is valid for 5 years
- Updated RCR resources at [https://aub.ie/RCR](https://aub.ie/RCR)
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