



OFFICE OF INNOVATION ADVANCEMENT AND COMMERCIALIZATION

Office of the Vice President for Research & Economic Development

Authorship & Copyright Royalty Agreement (ACRA)

IAC Approval No.:

I. Claim to Ownership:

- Type of Work: [] is a work for hire [] is supported by direct allocation of funds through the University [] is commissioned by the University [] makes significant use of University resources and/or personnel [] is otherwise subject to contractual obligations [] is the individual's personal work, for which an agreement or terms have been reached

Please note that this form is NOT for the compensation of services. Such compensation should be processed using established Auburn University procedures.

Author Name:

Author Job Title:

Author E-mail:

Author Banner ID:

Author Home Address:

Street: City: State: Zip Code:

Co-author(s)?: [] Yes (If yes, attach co-author agreement) [] No

Co-author Name(s):

AU Unit Contact Name:

AU Unit Contact E-mail:



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Physical Description of Work / Materials: *(additional information may be attached on a separate sheet)*

II. Author Agreement:

Royalty Payments: The Internal Revenue Service defines a royalty as a payment for the right to use some type of intangible property, and that definition does not include payments for services. Royalties received for the creation or use of copyrighted materials or patents are taxable as ordinary income. Royalties paid to you in excess of \$10 are required to be reported in Box 2 of Form 1099-Misc per the Internal Revenue Service.

Transfers to video accounts, similar FOAPs, and budget transfers: Payments of royalties in the form of transfers to video accounts and similar FOAPS and budget transfers cannot be tracked for tax reporting purposes. In order to ensure that the correct amount of royalties paid to you during the tax year is reported on Form 1099-Misc, all royalty payments must be processed through PPS using account code 70530.

Note: Compensation for providing services must be paid through Payroll and reported on Form W-2.

Author, as named in Section I of this Agreement, acknowledges that his/her work, as defined by and consistent with applicable laws and regulations and the above explanation of such laws and regulations, is the property of Auburn University who is governed in the handling of intellectual property by its official policies titled Auburn University Patent Policy as well as the Copyright Policy, and agrees to assign and hereby assigns and confirms in writing to AU all right, title, and interest, including associated copyright, in and to copyrightable materials, as part of his/her employment, unless work has been determined to be the author's personal work, and then such agreement or terms agreed upon shall stand as binding between Auburn University and Author. Author further agrees with royalty terms as stated within Section III of this Agreement.

Author Signature:

Date:



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III. Royalty Terms:

Please provide specific agreement detail and attach an explanation and/or copy of all relevant written agreements.

IV. Royalty Agreement & Approval to Pay:

Dpt. Head/Chair Signature:

Date:

College/School Dean Signature:

Date:

Upon approval by signature of the originating department's head / chair faculty member and the appropriate college's or school's dean, the original Agreement should be forwarded to the Office of Innovation Advancement and Commercialization, 570 Devall Drive, Suite 102, Auburn, AL 36832. IAC is responsible for obtaining approval, by signature, from the VP for Research & Economic Development and the VP, Business & Finance and CFO.

VP for Research Signature:

Date:

VP, Business & Finance and CFO Signature:

Date:

Request for royalty payment may not be made prior to receiving an Office of Innovation Advancement and Commercialization (IAC) Approval Number.

Copies of approved Agreements will be returned to the originating department contact, as indicated above, for submission and request for payment of royalty payments and may be utilized for verification and authorization of royalty distributions as submitted via the AU eVendor Voucher system and upon approval by Procurement and Payment Services.

Changes and / or additions to the IAC Ownership & Copyright Agreement form are not allowed. Any changes made to the format and / or content of any OCRA submitted for approval will result in a return of the submitted agreement w/o approval.